Item	12/31/21 Report
1. An acknowledgement that the institution	The University of Chicago signed and returned
signed and returned to the Department the	the Certification and Agreement on June 8, 2020
Certification and Agreement and the	and received the associated funds on June 23,
assurance that the institution has used the	2020.
applicable amount of funds designated under	
the CRRSAA and ARP (a)(1) and (a)(4)	
programs to provide Emergency Financial Aid	
Grants to Students.	
2. The total amount of funds that the	\$ 8,178,488
institution will receive or has received from	
the Department pursuant to the institution's	
Certification and Agreement [for] Emergency	
Financial Aid Grants to Students under the	
CRRSAA and ARP (a)(1) and (a)(4) programs.	
3. The total amount of Emergency Financial	\$ 8,178,488
Aid Grants distributed to students under the	
CRRSAA and ARP (a)(1) and (a)(4) programs	
as of the date of submission (i.e., as of the	
initial report and every calendar quarter	
thereafter).	
4. The estimated total number of students at	18,000
the institution that are eligible to receive	
Emergency Financial Aid Grants to Students	
under the CRRSAA and ARP (a)(1) and (a)(4)	
programs.	
5. The total number of students who have	4,999
received an Emergency Financial Aid Grant to	
students under CRRSAA and ARP (a)(1) and	
(a)(4) programs.	
6. The method(s) used by the institution to	1) On April 9, 2021, the University of Chicago
determine which students receive Emergency	announced an application for HEERF funds. All
Financial Aid Grants and how much they	students were provided the opportunity to apply and demonstrate they satisfied eligibility criteria

would receive under the CRRSAA and ARP (a)(1) and (a)(4) programs.	(see 7 below). Amounts distributed to students under this process were funded primarily from HEERF 2 funds, but a portion of HEERF 3 funds were also used to fund emergency financial aid grants to students.  2) On November 5, 2021, the University of Chicago announced the availability of HEERF 3 funds. The University identified eligible students based on the following criteria: The student must (i) have been enrolled in Autumn Quarter 2021 and (ii) have a 2021-2022 Free Application for Federal Student Aid (FAFSA) on file as of October 22, 2021. From this cohort, the University prioritized a group of students with exceptional need, based on their 2021-22 FAFSA, and distributed grants of \$1,500 to each student in this cohort.  3) For the remaining HEERF 3 funds, the University announced an application process for students to request HEERF 3 funds. Students, including those who previously received a \$1,500
	grant as described above, were provided the opportunity to apply and demonstrate they satisfied eligibility criteria, including demonstrating they met certain income thresholds established by the University (see 7 below).
7. Any instructions, directions, or guidance provided by the institution to students concerning the Emergency Financial Aid Grants.	A) In order to be considered eligible based on the April 2021 HEERF application, a student had to meet all four of the following requirements:  1. Be enrolled for Winter or Spring 2021

- 2. Have submitted a 2020-2021 FAFSA indicating the University as a recipient by May 16, 2021.
- 3. Have reported in their 2020-2021 FAFSA a 2018 income from work of equal to or less than the applicable threshold
  - a. If their 2018 income exceeded the applicable threshold, but they had experienced a reduction in 2020 annual income that would meet the income threshold for eligibility, they had the opportunity to supplement their HEERF application with proof of estimated annual income for 2020.
- 4. Have incurred expenses related to students' cost of attendance or for emergency costs that arise due to the coronavirus.

Students were directed to an <u>FAQ page</u> for further information

B) On November 5, 2021, students were notified via email that the University of Chicago would receive and distribute Higher Education Emergency Relief Funds from the American Rescue Plan, 2021. Grants in the amount of \$1,500 were immediately distributed as outlined above in 6, and the application availability date was provided. Students were directed to an FAQ page for further information.

C) In order to be considered eligible based on the HEERF 3 application, a student had to meet one of the two following sets of requirements:

- 1. If eligible to receive federal student aid:
  - Be enrolled for Autumn 2021
  - Have submitted a 2021-2022
     FAFSA indicating the University as a recipient by December 1, 2021.
  - Have reported in their 2021-2022 FAFSA a 2019 income from work of equal to or less than the applicable threshold
  - If their 2019 income exceeds the applicable threshold, but they had experienced a reduction in 2020 annual income that would meet the income threshold for eligibility, they had the opportunity to supplement their HEERF application with proof of annual income for 2020.
  - Have incurred expenses related to students' cost of attendance or for emergency costs that arise due to the coronavirus.
- 2. If not eligible to receive federal student aid:
  - Be enrolled for Autumn 2021
  - Have an income from work of equal to or less than the applicable threshold

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Have incurred expenses related to students' cost of attendance or for emergency costs that arise due to the coronavirus.
Students were directed to an <u>FAQ page</u> for further information.